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Low-frequency shot noise in double-barrier resonant-tunnelling GaAs/Al_xGa_{1-x}As structures in a strong magnetic field

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Abstract. Low-frequency shot noise and dc current profiles for a double-barrier resonant-tunnelling structure (DBRTS) under a strong magnetic field applied perpendicular to the interfaces have been studied. Structures with a 3D and 2D emitter have both been considered. The calculations, carried out with the Keldysh Green's function technique, show strong dependencies of both the current and noise profiles on the bias voltage and magnetic field. The noise spectrum appears sensitive to charge accumulation due to barrier capacitances, and both noise and dc current are extremely sensitive to the Landau level broadening in the emitter electrode and can be used as a powerful tool to investigate the latter. As an example, two specific shapes of the level broadening have been considered—a semi-elliptic profile resulting from the self-consistent Born approximation, and a Gaussian one resulting from the lowest-order cumulant expansion.

1. Introduction

In recent years, there has been great interest in resonant tunnelling through double-barrier resonant tunnelling structures (DBRTS) (figure 1). Such structures have been the focus of many experimental and theoretical investigations since the conception by Tsu and Esaki [1] and first realization of negative differential resistance by Sollner *et al* [2]. Many important characteristics of DBRTS have been intensely studied, e.g. dc properties, phonon-assisted tunnelling, time-dependent processes and frequency response. Noise properties of DBRTS have also been studied both experimentally [3] and theoretically [4, 5, 6, 7, 8]. At low temperatures and in the presence of a transport current, shot noise is the dominant source of electrical noise. This kind of noise is due to discreteness of the electron charge, and it is sensitive to the degree of correlation between tunnelling processes. In general, a correlation leads to an additional frequency dependence of shot noise, as well as to its suppression below the so-called full noise, $S(0) = 2e|I_{dc}|$ (at $T = 0$) [9]. Here $S(\omega)$ is the noise spectrum (see the exact definition below), while I_{dc} is the average dc current. In a mesoscopic conductor having several independent modes of transverse motion (channels), the noise is determined by the partial transmission probabilities T_m as [10, 11, 12] $S \propto \sum_m T_m(1 - T_m)$, while the conductance goes as $G \propto \sum_m T_m$. Suppression of the shot noise is thus expected in a phase-coherent system when the tunnelling probabilities are of the order unity for open quantum channels.

Our concern is a DBRTS in a strong magnetic field perpendicular to the interfaces. Magnetic field is an important tool for sample characterization because it leads to the

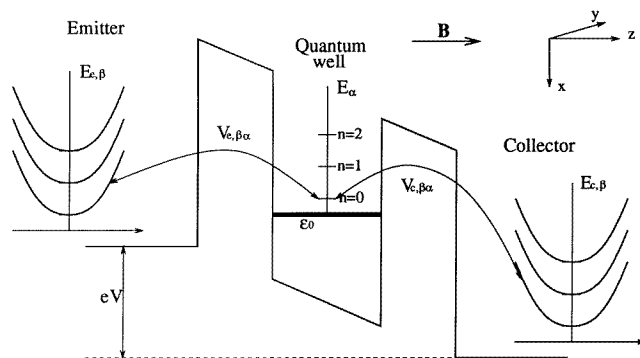


Figure 1. A schematic illustration of the double-barrier resonant tunnelling structure (DBRTS).

formation of Landau levels, as well as to drastic modification of electron wave functions. We study the situation where the magnetic field \mathbf{B} is applied parallel to the tunnelling current \mathbf{I} , as schematically illustrated in figure 1. In such a configuration, the magnetic field leads to an effectively one-dimensional tunnelling problem. Consequently, both the dc current and the noise appear extremely sensitive to the details of the density-of-states behaviour. We believe that such a sensitivity can provide a powerful tool for investigating details of the Landau level broadening in resonant tunnelling structures.

The paper is organized as follows. Section 2 describes the model Hamiltonian as well as the basic expression from which the current and shot noise profiles will be derived in section 3. In appendix A and appendix B the Green's functions used in our calculations are expanded.

As an example, we consider a $\text{GaAs}^+/\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}/\text{GaAs}/\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}/\text{GaAs}^+$ DBRTS, with the barriers and the well widths of the order of 40–60 Å. Such structures were extensively studied experimentally. In many cases the barrier height is about 300 meV, and it is assumed that there exists only one quasi-bound state in the well.

2. The model and basic expressions

Consider a DBRTS in the presence of an external magnetic field \mathbf{B} perpendicular to the interfaces which are assumed perfect, $\mathbf{B} \parallel \mathbf{I} \parallel z$. Within the quantum well, the electron wave function can be expressed as a product of a quasi-bound state $\chi(z)$ times a wave function corresponding to the motion in the x - y plane. Let us denote the energy of the motion in z -direction as ϵ_0 . Under the Landau gauge $\mathbf{A} = (0, Bx, 0)$ the wave functions can be specified by the set of quantum numbers $\alpha = (n, k_y)$ as

$$\phi_{\alpha}(\mathbf{r}) = \frac{1}{\sqrt{L_y}} \exp(ik_y y) \varphi_n(x + l^2 k_y) \chi(z). \quad (1)$$

The corresponding energy levels (measured from the conduction band edge) are

$$E_{\alpha} = E_n = \epsilon_0 + \hbar\omega_c \left(n + \frac{1}{2} \right). \quad (2)$$

Here, $\varphi_n(x)$ denote harmonic oscillator states, $\omega_c \equiv eB/m^*$ is the cyclotron frequency, and $l \equiv \sqrt{\hbar/eB}$ is the Landau magnetic length.

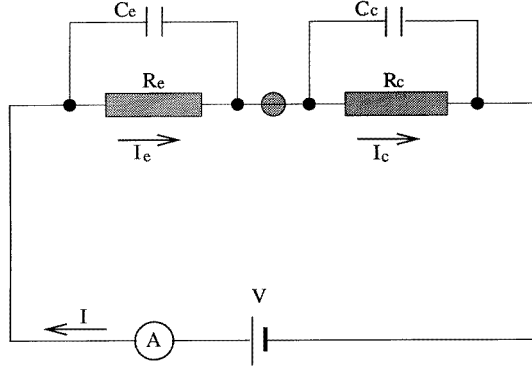


Figure 2. The equivalent circuit for a DBRTS.

Similarly, electron states in the leads are specified by the quantum numbers $\beta = (m, k_{j,y}, k_{j,z})$, where $j \equiv e$ (c) refers to emitter (collector) states, respectively. The corresponding wave functions and energy levels under the bias eV are given as:

$$\phi_{j,\beta}(\mathbf{r}) = \frac{1}{\sqrt{L_y L_z}} \exp(ik_{j,z}z + ik_{j,y}y) \varphi_m(x + l^2 k_{j,y}) \quad (3)$$

$$E_{j,\beta} = \frac{(\hbar k_{j,z})^2}{2m^*} + \hbar\omega_c \left(m + \frac{1}{2} \right) + a_j eV \quad (4)$$

where $0 < a_e < 1$ and $a_c = a_e - 1$ (the symmetric $a_e = 0.5$ case will be considered in our numerical calculations). We arrive at the model Hamiltonian

$$\mathcal{H} = \mathcal{H}_e + \mathcal{H}_T = \sum_{j,\beta} E_{j,\beta} c_{j,\beta}^\dagger c_{j,\beta} + \sum_{\alpha} E_{\alpha} c_{\alpha}^\dagger c_{\alpha} + \sum_{j,\alpha,\beta} [V_{j,\beta\alpha} c_{\alpha}^\dagger c_{j,\beta} + \text{HC}] \quad (5)$$

where the tunnel matrix elements $V_{j,\beta\alpha}$ have to be calculated using the eigenstates listed above. Since the interfaces are assumed to be perfect, the quantum numbers n and k_y are conserved during the tunnelling process, and so the calculation of the matrix elements $V_{j,\beta\alpha}$ reduces to the solving of a one-dimensional Schrödinger equation [13], followed with the application of the Bardeen prescription [14]. Consequently, the tunnelling matrix elements can be written as

$$V_{j,\beta\alpha} = \delta_{m,n} \delta(k_y - k_{j,y}) V_{j,n}(k_{j,y}, k_{j,z}). \quad (6)$$

In noise calculations the time dependence of the tunnelling currents flowing through the DBRTS is important, and hence the junction capacitances should be taken into account. The effect of the junction capacitances can be included in our model with the help of an equivalent circuit of the DBRTS as shown in figure 2 [15]. In this circuit, we specify the currents through the emitter (collector) barriers $I_{e(c)}(t)$ and their resistances as $R_{e(c)}$. The 'external' current $I(t)$ is in this model given by

$$I(t) = \frac{C_c}{C} I_e(t) + \frac{C_e}{C} I_c(t) \quad (7)$$

where $C_{e(c)}$ is the capacitance of the emitter (collector) barrier and $C = C_e + C_c$ is the total capacitance of the quantum well. In the symmetric case $C_e = C_c$, we arrive at the simple relation $I(t) = [I_e(t) + I_c(t)]/2$, which was the basis of the Chen and Ting's [4] calculation for shot noise in a DBRTS in zero magnetic field. If one ignores the charge accumulation,

all three currents are the same [5], $I(t) = I_e(t) = I_c(t)$, and the result in this case can be obtained from the following formulas in the limit of strong asymmetry, $C_{e(c)}/C \rightarrow 0$. The asymmetry in capacitances is of course not important for the dc current, where

$$I_{dc} = I_{dc,e} = I_{dc,c}. \quad (8)$$

In the further analysis it is convenient to use $\hbar = 1$, and then restore \hbar again in the final expressions and order-of-magnitude estimates.

The tunnelling current I_e flowing into the well from the emitter and the current I_c flowing out of the well to the collector are in general different. They are given by the expressions

$$\begin{aligned} I_{dc,j} &= -e\kappa_j \langle \dot{N}_j(t) \rangle = -ie\kappa_j \langle [\mathcal{H}_T(t), N_j(t)] \rangle \\ &= -ie2e\kappa_j \sum_{\beta,\alpha} \left[V_{j,\beta\alpha} \langle c_\alpha^\dagger(t) c_{j,\beta}(t) \rangle - V_{j,\beta\alpha}^* \langle c_{j,\beta}^\dagger(t) c_\alpha(t) \rangle \right] \end{aligned} \quad (9)$$

where $N_j(t)$ are the Heisenberg number-of-particles operators, $\kappa_e \equiv 1$, $\kappa_c \equiv -1$, and a spin-degeneracy factor 2 is introduced.

The shot noise spectrum is defined as the Fourier transform of the current-current autocorrelation function as [9]

$$S(\omega) = 2 \int_{-\infty}^{\infty} S(t) e^{i\omega t} dt = 4 \int_0^{\infty} S(t) \cos(\omega t) dt \quad (10)$$

where $S(t)$ is the quantum mechanical and statistical average of the current-current anti-commutator:

$$S(t) = \frac{1}{2} \langle \{ \Delta I(t), \Delta I(0) \} \rangle = \frac{1}{2} \langle \{ I(t), I(0) \} \rangle - I_{dc}^2. \quad (11)$$

From (7) and (9), it can be expressed (having in mind the spin-degeneracy factor of 2) as

$$\begin{aligned} S(t) &= -e^2 \sum_{j,j_0,\alpha,\alpha_0,\beta,\beta_0} \eta_j \eta_{j_0} \left[V_{j,\beta\alpha} V_{j_0,\beta_0\alpha_0} \langle \{ c_\alpha^\dagger(t) c_{j,\beta}(t), c_{\alpha_0}^\dagger(0) c_{j_0,\beta_0}(0) \} \rangle \right. \\ &\quad - V_{j,\beta\alpha} V_{j_0,\beta_0\alpha_0}^* \langle \{ c_\alpha^\dagger(t) c_{j,\beta}(t), c_{j_0,\beta_0}^\dagger(0) c_{\alpha_0}(0) \} \rangle \\ &\quad - V_{j,\beta\alpha}^* V_{j_0,\beta_0\alpha_0} \langle \{ c_{j,\beta}^\dagger(t) c_\alpha(t), c_{\alpha_0}^\dagger(0) c_{j_0,\beta_0}(0) \} \rangle \\ &\quad \left. + V_{j,\beta\alpha}^* V_{j_0,\beta_0\alpha_0} \langle \{ c_{j,\beta}^\dagger(t) c_\alpha(t), c_{j_0,\beta_0}^\dagger(0) c_{\alpha_0}(0) \} \rangle \right]. \end{aligned} \quad (12)$$

where $\eta_e \equiv C_c/C$ and $\eta_c \equiv -C_e/C$. Expressed through Feynman's graphs, these averages involve only the diagrams with the Green's functions connecting the times t and 0 , since disconnected parts are all cancelled by the subtraction of I_{dc}^2 in (11).

3. The results

The task is now to expand the quantum statistical averages appearing in (9) and (12). For a finite bias, the DBRTS as a whole is not in thermal equilibrium, and it seems thus appropriate to employ the Keldysh non-equilibrium Green's function technique [16, 17], where the two lead subsystems are supposed to have their own local equilibrium.

Expanding (9) yields (appendix A)

$$I_{dc,j} = -\frac{e g_B}{\pi} \sum_n \int_{-\infty}^{\infty} d\varepsilon \gamma_j(n, \varepsilon) A(n, \varepsilon) [f_{QW}(\varepsilon) - f_j(\varepsilon)]. \quad (13)$$

In the above expression, $g_B = L_x L_y / 2\pi l^2$ is the magnetic k_y summation degeneracy factor, $\gamma_j(n, \varepsilon)$ is the escape rate to the lead j , $f_{QW}(\varepsilon)$ and $f_j(\varepsilon)$ are the occupation factors, while $A(n, \varepsilon)$ is the spectral function for the n th Landau level in the well,

$$A(n, \varepsilon) = -2 \operatorname{Im} G_R(n, \varepsilon) = \frac{\gamma(n, \varepsilon)}{(\varepsilon - E_n)^2 + [\gamma(n, \varepsilon)/2]^2}. \quad (14)$$

Here, $G_R(n, \varepsilon)$ is the retarded electron Green's function, and $\gamma(n, \varepsilon) = \gamma_e(n, \varepsilon) + \gamma_c(n, \varepsilon)$ is the level broadening due to the finite escape rate to the leads. Usually, the energy distance between the resonant level in the well and the tops of the barriers is much greater than the escape rate from the well, γ . In this case the tunnelling matrix element (6) can be considered as a smooth function of the energy in comparison with the energy dependence of the density of states in the leads,

$$g_j(n, \varepsilon) \equiv \sum_{k_{j,z}} \delta(\varepsilon - E_{j,\beta}).$$

Thus the escape rates γ_j can be expressed as

$$\gamma_j(n, \varepsilon) = 2\pi |V_j|^2 g_j(n, \varepsilon).$$

Consequently, the noise appears to be a sensitive tool for studying the density of states in the electrodes in a magnetic field. Below, we will perform numerical calculations for two models for the density of states—for a constant Lorentzian broadening, and for the so-called self-consistent Born approximation.

Since both leads are assumed to be in thermal equilibrium with different electrochemical potentials and Fermi energies, the occupation numbers can be expressed as the Fermi functions

$$f_j(\varepsilon) = \frac{1}{e^{(\varepsilon - E_f - a_j eV)/k_B T} + 1}. \quad (15)$$

However, thermal equilibrium is not maintained in the quantum well and thus one cannot use the Fermi distribution for the electrons in this region. Instead, from the dc-current conservation law (8), the weighed average occupation factor is determined as [18]

$$f_{QW}(n, \varepsilon) = \frac{\gamma_e(n, \varepsilon) f_e(\varepsilon) + \gamma_c(n, \varepsilon) f_c(\varepsilon)}{\gamma(n, \varepsilon)}. \quad (16)$$

Reintroducing \hbar to return to the proper units, we arrive at the Landauer formula [19]

$$I_{dc} = \frac{e g_B}{\pi \hbar} \sum_n \int d\varepsilon T_n(\varepsilon) [f_e(\varepsilon) - f_c(\varepsilon)] \quad (17)$$

with the transmission probability $T_n(\varepsilon)$:

$$T_n(\varepsilon) \equiv \frac{\gamma_e(n, \varepsilon) \gamma_c(n, \varepsilon)}{\gamma(n, \varepsilon)} A(n, \varepsilon). \quad (18)$$

To get a relatively simple expressions for the shot noise from equation (12) we make the following approximations. First, we assume that the resonant level is situated well inside the resonant tunnelling region,

$$|a_j eV_j + E_F - E_n| \gg \max(\hbar\omega, \gamma, v_j) \quad |a_j eV_j - \epsilon_0| \gg \max(\hbar\omega, \gamma, v_j) \quad (19)$$

and that $\omega \ll \omega_c$. These inequalities allow us to put $f_j(\varepsilon \pm \omega) \rightarrow f_j(\varepsilon)$ and $\gamma_j(n, \varepsilon \pm \omega) \rightarrow \gamma_j(n, \varepsilon)$. Second, the temperature is assumed to be low ($k_B T \ll \gamma$), in which case the

Fermi functions can be approximated as step functions. Keeping those approximations in mind, we arrive at the following result (appendix B):

$$\begin{aligned}
S(\omega) = S(-\omega) &= \frac{e^2 g_B}{\pi} \sum_n \int d\varepsilon [f_e(\varepsilon) - f_c(\varepsilon)]^2 \\
&\times \left\{ A(n, \varepsilon) A(n, \varepsilon - \omega) \left[\frac{\gamma_e \gamma_c (\gamma_e C_e - \gamma_c C_c) (\gamma_e C_c - \gamma_c C_e)}{C^2 \gamma^2} - \frac{\gamma_e^2 \gamma_c^2}{\gamma^2} \right] \right. \\
&+ [A(n, \varepsilon) + A(n, \varepsilon - \omega)] \frac{C_e^2 + C_c^2}{C^2} \frac{\gamma_e \gamma_c}{\gamma} \\
&\left. - 4 \operatorname{Re}[G_R(n, \varepsilon)] \operatorname{Re}[G_R(n, \varepsilon - \omega)] \frac{C_e C_c}{C^2} \gamma_e \gamma_c \right\} \quad (20)
\end{aligned}$$

where $\gamma_j \equiv \gamma_j(n, \varepsilon)$.

Reinserting \hbar , and using the relation

$$4 \gamma_e \gamma_c \operatorname{Re}[G_R(n, \varepsilon)]^2 = 4 T_n(\varepsilon) - (\gamma^2 / \gamma_e \gamma_c) T_n^2(\varepsilon)$$

we arrive at the well known result

$$S(0) = \frac{2e^2 g_B}{\pi \hbar} \sum_n \int d\varepsilon T_n(\varepsilon) [1 - T_n(\varepsilon)] [f_e(\varepsilon) - f_c(\varepsilon)]^2. \quad (21)$$

As one could expect, the zero-frequency shot noise thus does not depend on the barrier capacitances and the above result coincides with previous calculations which have been performed for point contacts [10, 11], for arbitrary phase-coherent two-terminal conductors [12] (neglecting barrier capacitances), and also for a DBRTS in the regime of incoherent tunnelling [6]. The main feature of our problem is that the combinations $T_n(1 - T_n)$ enter for each Landau level independently and that the tunnelling probabilities T_n are strong functions of the magnetic field. An important feature is that equation (21) holds even if the inequality (19) is violated. That makes zero-frequency shot noise, together with the dc current, a powerful tool for investigating the density of states in the leads which manifests itself through the escape rates γ_j .

The results for a particular DBRTS device are shown in figure 3. Here we use the model of constant Lorentzian broadening of the Landau levels, where the escape rates can be expressed as (appendix A)

$$\gamma_j(n, \varepsilon) = \frac{\Upsilon_j \nu}{2\sqrt{2} \left\{ \left[(\varepsilon - E_{j,n})^2 + (\nu/2)^2 \right] \left[\sqrt{(\varepsilon - E_{j,n})^2 + (\nu/2)^2} + E_{j,n} - \varepsilon \right] \right\}^{1/2}}. \quad (22)$$

Here, Υ_j is a constant characterizing the strength of the escape rate and $E_{j,n} \equiv eV a_j + \omega_c(n + 1/2)$. Note that there are peaks in the dimensionless shot noise factor $S(\omega)/eI_{dc}$ at the voltages where an intrawell Landau level passes the emitter's electrochemical potential. The shapes of those peaks are determined by an interplay between the quantum suppression ($S(0) \propto T_n(1 - T_n)$) and a finite broadening of the Landau levels in the quantum well. In addition, a small peak appears in the dc-current curve at the end of the resonant tunnelling region (in our example, at $eV \sim 55$ meV) due to the finite broadening of the lead electron states. This broadening can typically be of the size $\nu \sim \hbar e/m^* \mu \sim 0.5$ meV (μ is the electron mobility).

The effect of the level broadening in the leads is even more pronounced in the case of a 2D emitter. For numerical calculations in this case we employ the so-called self-consistent

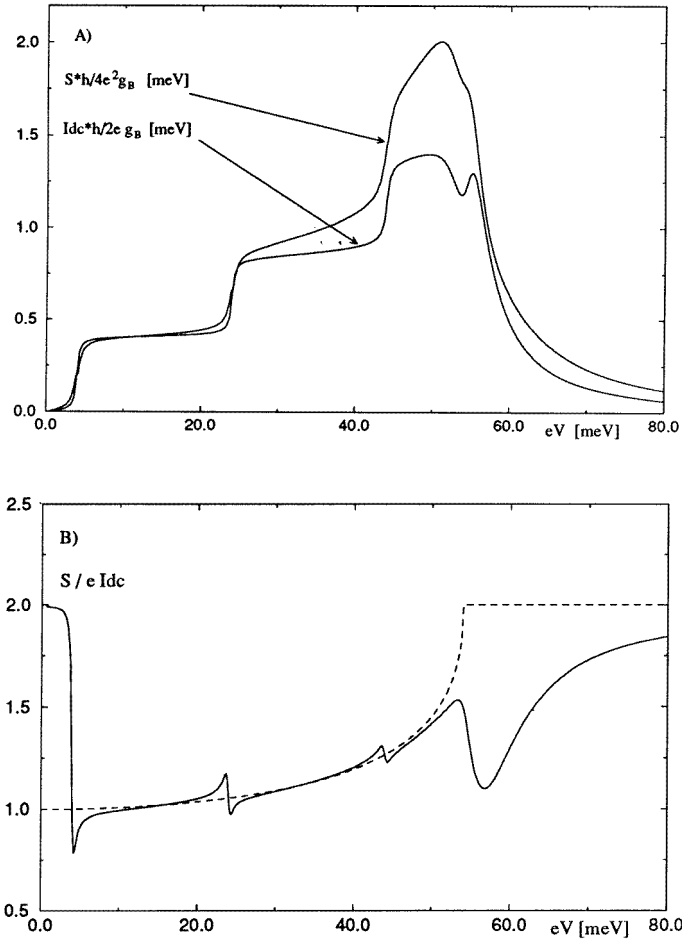


Figure 3. The average current and zero-frequency shot noise (A) and dimensionless noise-to-current ratio (B) for a symmetric 3D-emitter DBRTS with: $\Upsilon_e = \Upsilon_c = 0.67 \text{ meV}^{3/2}$, $\hbar\omega_c = 10 \text{ meV}$, $\varepsilon_0 = 27 \text{ meV}$, $E_F = 30 \text{ meV}$ and $\nu = 0.5 \text{ meV}$. The shot noise ratio solid curve was obtained from the exact equation (21), while the dashed curve was obtained from the approximation (24).

Born approximation [20]. In this approximation, the density of states takes a semi-elliptic form and the escape rate is then given by

$$\gamma_e(n, \varepsilon) = \Upsilon_e \frac{4 \hbar}{\sqrt{2m^* L_{ez}} \nu} \sqrt{1 - \left(\frac{\varepsilon - E_{e,n}}{\nu} \right)^2} \quad (23)$$

where $E_{e,n} = eV a_e + \omega_c(n + 1/2) + \epsilon_e$, ϵ_e is the emitter quasi-bound level and L_{ez} is the width of the 2D emitter. The lead broadening depends in this case on the magnetic field and is given by $\nu \sim \sqrt{2\hbar^2 e\omega_c / \pi m^* \mu}$, where μ is the mobility of the 2DEG. In our example, $\mu \sim 10^6 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, at $\hbar\omega_c = 10 \text{ meV}$ we get $\nu \sim 0.35 \text{ meV}$. In realistic systems, sharp edges of the semi-elliptical density-of-states profile are smoothed, the smoothing for a long-range potential being Gaussian [21]. To check the sensitivity to the smoothing we also

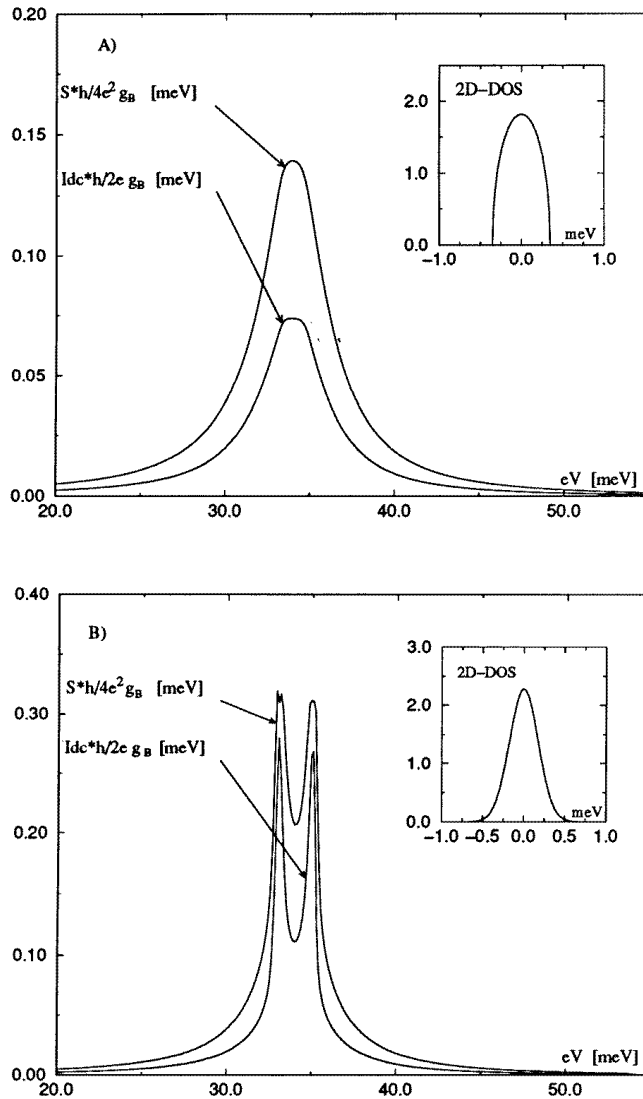


Figure 4. The average current and zero-frequency shot noise for a symmetrical 2D-emitter DBRTS with: $\Upsilon_e = \Upsilon_c = 0.67 \text{ meV}^{3/2}$, $L_{ez} = 200 \text{ \AA}$, $\hbar\omega_c = 10 \text{ meV}$, $\varepsilon_0 = 27 \text{ meV}$, $E_F = 30 \text{ meV}$, $\varepsilon_e = 10 \text{ meV}$, $v_{3D} = 0.5 \text{ meV}$ and $v_{2D} = 0.35 \text{ meV}$. (A) The results obtained from a semi-elliptic Landau level DOS profile in the emitter (see the inset). (B) The corresponding results from a Gaussian DOS profile.

made calculations for a Gaussian density-of-states profile. The calculations show that both the current and the noise profiles can be very sensitive to the degree of such a smoothing. Figure 4 shows the dc-current and zero-frequency shot noise results for a particular DBRTS device with a 2D emitter calculated according to the self-consistent Born approximation (semi-elliptic profile) as well as for a Gaussian profile obtained from a so-called lowest-order cumulant approximation [20, 22]. A double-peak structure is obtained with the Gaussian

profile in contrast to the single peak appearing in the case of a semi-elliptic profile.

We believe that our results can serve as a basis for an experimental test of the strength of the Landau level smearing by impurities. In our example, the splitting of the noise and current peaks in the case of the Gaussian level broadening case is about 2 meV, and should be observable at temperatures $T \ll 20$ K.

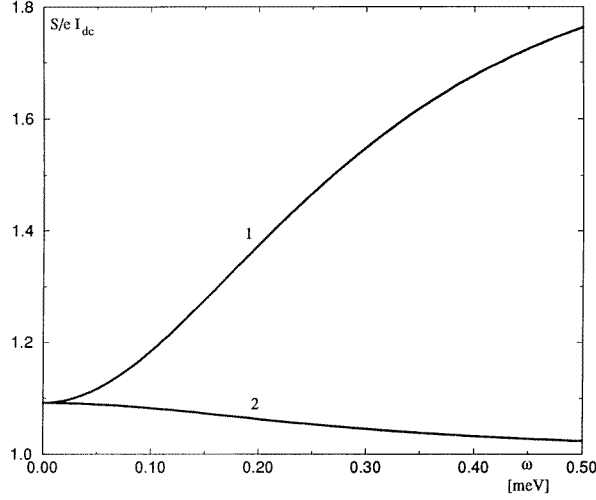


Figure 5. The frequency dependence of the noise-to-current ratio for a symmetrical DBRTS with the parameters $\Upsilon_e = \Upsilon_c = 0.67$ meV^{3/2}, $\hbar\omega_c = 10$ meV, $\varepsilon_c = 27$ meV, $E_F = 30$ meV and with the applied voltage $eV = 30$ meV. Curve 1 shows the case of symmetric barrier capacitances (25), while curve 2 is the result when barrier capacitance charge accumulation is negligible (26).

Finally, we give an expression for the shot noise valid at finite frequency provided that the inequality (19) holds. Integrating (17) and (20) with a 3D emitter, we arrive at the expression (appendix B)

$$S(\omega) = \frac{2|eI_{dc}|}{C^2} \left\{ (C_e^2 + C_c^2) + \frac{1}{\gamma^2 + \omega^2} [C_e C_c (\gamma_e^2 + \gamma_c^2) - (C_e^2 + C_c^2) \gamma_e \gamma_c - \gamma_e \gamma_c C^2 + C_e C_c \gamma^2] \right\}. \quad (24)$$

This result is strongly dependent of the bias voltage because of the voltage dependence of the escape rates. Indeed, for $|\epsilon_0 - eVa_j| \gg \max(\hbar\omega, \gamma, v_j)$,

$$\gamma_j \equiv \gamma_j(eV) = \Upsilon_j \frac{\Theta(\epsilon_0 - eVa_j)}{\sqrt{\epsilon_0 - eVa_j}}.$$

For our two special cases, symmetric capacitance ($C_e = C_c$) and no charge accumulation ($C_{c(e)} \rightarrow 0$), we arrive at relations similar to those obtained by Chen and Ting [4] and by Büttiker [5] in zero magnetic field:

$$S_{sym}(\omega) = |eI_{dc}| \left[1 + \frac{\gamma^2}{\gamma^2 + \omega^2} \left(1 - 4 \frac{\gamma_e \gamma_c}{\gamma^2} \right) \right] \quad (25)$$

$$S_{asym}(\omega) = 2|eI_{dc}| \left[1 - 2 \frac{\gamma_e \gamma_c}{\gamma^2 + \omega^2} \right]. \quad (26)$$

However, the important difference is the strong dependencies of the escape rates on both electric and magnetic fields. The frequency dependencies of the noise in those two cases are very different (figure 5) and can serve as a basis for an experimental test of the importance of the charge accumulation on the barrier capacitances in the DBRTS tunnelling structure.

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Appendix A. The Green's function expansion for a dc current

The quantum statistical averages appearing in (9) are expanded using the Keldysh non-equilibrium Green's function technique [16, 17]. Four different Green's functions, appropriate for an S -matrix expansion in the time-loop formalism, are defined along a closed time path that runs from $-\infty$ to $+\infty$ along the $\sigma = '1'$ branch and then returns from $+\infty$ back to $-\infty$ along the $\sigma = '2'$ branch:

$$G_{\sigma_1\sigma_2}(t_1 - t_2) = -i\langle \mathcal{T}_t c(t_1) c^\dagger(t_2) \rangle \quad (\text{A1})$$

where $\sigma_n = 1$ (2) means that t_n is located on the '1' ('2') branch and \mathcal{T}_t is the generalized chronological operator ordering physical operators along the closed time path. In the Fourier-transformed energy space, the Green's functions are simply related to the retarded Green's functions:

$$\begin{aligned} G_{11}(\varepsilon) &= if(\varepsilon)A(\varepsilon) + G_R(\varepsilon) \\ G_{12}(\varepsilon) &= if(\varepsilon)A(\varepsilon) \\ G_{21}(\varepsilon) &= -i[1 - f(\varepsilon)]A(\varepsilon) \\ G_{22}(\varepsilon) &= -i[1 - f(\varepsilon)]A(\varepsilon) - G_R(\varepsilon). \end{aligned} \quad (\text{A2})$$

Here $A(\varepsilon) \equiv -2\text{Im}[G_R(\varepsilon)]$ is the spectral function, while $f(\varepsilon)$ is the occupation number in the region considered. The following retarded quantum well and lead Green's functions are used as the basis in the calculations:

$$\begin{aligned} G_R^0(\alpha, \varepsilon) &= [\varepsilon - E_\alpha + i\gamma(n, \varepsilon)/2]^{-1} \\ G_R^0(j\beta, \varepsilon) &= [\varepsilon - E_{j,\beta} + iv_j/2]^{-1}. \end{aligned} \quad (\text{A3})$$

Here $\gamma(n, \varepsilon) = \gamma_e(n, \varepsilon) + \gamma_c(n, \varepsilon)$ is the broadening of the resonant states due to the finite tunnelling rate to the leads, and v_j is the broadening of electron states in the leads due to electron scattering.

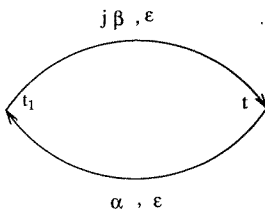


Figure A1. A diagrammatic representation for the dc-current Green's functions.

The dc current is expanded to lowest order in the time-loop S -matrix expansion [17], which from (9) yields (as diagrammatically represented in figure A1)

$$\begin{aligned} I_{dc,j} &= -4e\kappa_j \lim_{\hat{t} \rightarrow t} \sum_{\alpha,\beta} \int_{-\infty}^{-\infty} dt_1 |V_{j,\beta\alpha}|^2 \operatorname{Re} \left\langle \mathcal{T}_t c_{j,\beta}^\dagger(t_1) c_\alpha(t_1) c_{j,\beta}(t) c_\alpha^\dagger(\hat{t}) \right\rangle \\ &= 4e \sum_{\alpha,\beta} \int_{-\infty}^{\infty} dt_1 |V_{j,\beta\alpha}|^2 \operatorname{Re} \left[G_{11}(j\beta, t - t_1) G_{12}(\alpha, t_1 - t) \right. \\ &\quad \left. - G_{12}(j\beta, t - t_1) G_{22}(\alpha, t_1 - t) \right]. \end{aligned} \quad (\text{A4})$$

In the first of the above integrals, t is located on the ‘1’ branch, \hat{t} is located on the ‘2’ branch and the t_1 -integral is taken along the time loop from $-\infty$ to $+\infty$ and back to $-\infty$. The latter result, introducing Green’s functions according to (A1), is expressed as an integral over the ordinary real-time axis from $-\infty$ to $+\infty$. The Fourier transform of this result, with the substitution of (A2), yields

$$I_{dc,j} = -\frac{e}{\pi} \sum_{\alpha,\beta} |V_{j,\beta\alpha}|^2 \int_{-\infty}^{\infty} d\varepsilon A(\alpha, \varepsilon) A(j\beta, \varepsilon) [f_{QW}(\varepsilon) - f_j(\varepsilon)] \quad (\text{A5})$$

where $f_{QW}(\varepsilon)$ and $f_j(\varepsilon)$ are respectively the occupation numbers in the quantum well and leads. Using the escape rates from the quantum well states to the lead j , defined as

$$\gamma_j(n, \varepsilon) = \sum_{k_{j,z}} |V_j|^2 A(j\beta, \varepsilon) \quad (\text{A6})$$

where the tunnelling matrix elements in (6) have been assumed to be independent of any quantum numbers ($V_{j,n}(k_y^j, k_z^j) = V_j$) and taking into account the k_y -independence of the electron Green’s functions ($A(\alpha, \varepsilon) = A(n, \varepsilon)$), we arrive at (13) and (22).

Appendix B. The Green’s function expansion for shot noise

The quantum statistical averages appearing in (12) are expanded in a similar way to that for the dc current. It is found that $S(\omega)$ is symmetric in ω and can be written as a sum of six different terms (represented by the diagrams in figure A2):

$$S(\omega) = S(-\omega) = S_1(\omega) + S_2(\omega) + S_3(\omega) + S_4(\omega) + S_5(\omega) + S_6(\omega). \quad (\text{B1})$$

$S_1(\omega)$ is expanded from the first term in (12) as

$$S_1(\omega) = S_{1a}(\omega) + S_{1a}(-\omega) \quad (\text{B2})$$

with

$$\begin{aligned} S_{1a}(\omega) &= -\frac{e^2}{\pi} \sum_{j,j_0,\alpha,\beta} \eta_j \eta_{j_0} |V_j|^2 |V_{j_0}|^2 \int d\varepsilon \sum_{\sigma_1,\sigma_2} (-1)^{\sigma_1+\sigma_2} \\ &\quad \times \left[G_{\sigma_2 1}(\alpha, \varepsilon) G_{2\sigma_2}(j\beta, \varepsilon) G_{\sigma_1 2}(\alpha, \varepsilon - \omega) G_{1\sigma_1}(j_0\beta, \varepsilon - \omega) \right]. \end{aligned} \quad (\text{B3})$$

$S_2(\omega)$ is the contribution from the fourth term in (12), simply related to $S_1(\omega)$ as

$$S_2(\omega) = S_1^*(\omega). \quad (\text{B4})$$

The second term in (12) has both zeroth- [$S_3(\omega)$] and second-order [$S_4(\omega)$] contributions:

$$S_3(\omega) = \frac{e^2}{\pi} \sum_{j,\alpha,\beta} \eta_j^2 |V_j|^2 \int d\varepsilon G_{21}(j\beta, \varepsilon) G_{12}(\alpha, \varepsilon - \omega) + G_{12}(j\beta, \varepsilon) G_{21}(\alpha, \varepsilon - \omega)$$

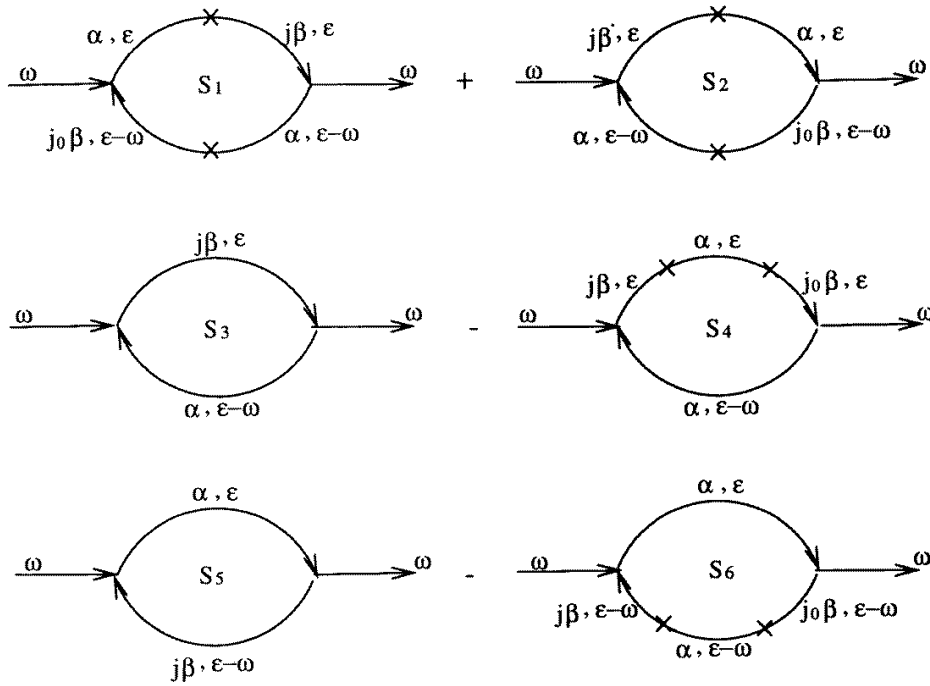


Figure A2. A diagrammatic representation for the noise Green's functions

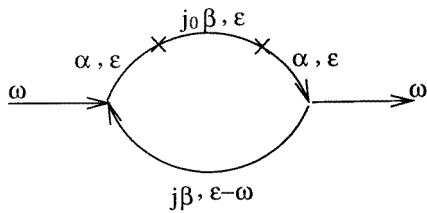


Figure A3. A typical diagram not taken explicitly into account since it is already implicitly included in other diagrams.

$$S_4(\omega) = \frac{e^2}{\pi} \sum_{j, j_0, \alpha, \beta} \eta_j \eta_{j_0} |V_j|^2 |V_{j_0}|^2 \int d\varepsilon \sum_{\sigma_0 \sigma_1 = \{1, 2, 2, 1\}} \sum_{\sigma_1, \sigma_2} (-1)^{\sigma_1 + \sigma_2} \times [G_{\sigma_1 \sigma_0}(j\beta, \varepsilon) G_{\sigma_2 \sigma_1}(\alpha, \varepsilon) G_{\sigma_1 \sigma_2}(j_0\beta, \varepsilon) G_{\sigma_0 \sigma_1}(\alpha, \varepsilon - \omega)]. \tag{B5}$$

The zeroth- [$S_5(\omega)$] and second-order [$S_6(\omega)$] contributions from the third term in (12) are simply given as

$$S_5(\omega) = S_3(-\omega) \quad S_6(\omega) = S_4(-\omega). \tag{B6}$$

The diagrams of the type shown in figure A3 are not taken into account explicitly because they are already included in $S_3(\omega)$ and $S_5(\omega)$, since the quantum well electron Green's functions that we use as our basis are originally dressed by tunnelling to the leads [7]. Summing up the different diagrammatic terms we neglect the contribution from the real part of the lead retarded Green's functions. This is a reasonable approximation since it corresponds to a Hilbert transform of the imaginary part (proportional to the escape

rates) and it appears that, in and above the resonant tunnelling region, its contribution is negligible. Keeping this in mind, as well as the approximations listed in the main text ($f_j(\varepsilon \pm \omega) \rightarrow f_j(\varepsilon)$, $\gamma_j(n, \varepsilon \pm \omega) \rightarrow \gamma_j(n, \varepsilon)$ and $k_B T \ll \gamma$), we arrive at the result (20).

Integrating the shot noise expression (20) and the dc current (17), we make use of the following integrals over the resonant tunnelling region, valid for a Landau level located well inside the resonant tunnelling region according to (19):

$$\begin{aligned} \int d\varepsilon A(n, \varepsilon) &\approx 2\pi \\ \int d\varepsilon A(n, \varepsilon)A(n, \varepsilon - \omega) &\approx \frac{4\pi\gamma}{\gamma^2 + \omega^2} \\ \int d\varepsilon \operatorname{Re}[G_R(n, \varepsilon)] \operatorname{Re}[G_R(n, \varepsilon - \omega)] &\approx \frac{\pi\gamma}{\gamma^2 + \omega^2}. \end{aligned} \quad (\text{B7})$$

With these relations, we arrive at (24).

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